# **University Hospitals of Leicester NHS Trust**

**Research & Development Directorate** 

Performance in Delivering Research

1st January 2014 to 31st December 2014

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial   | Recruitment<br>Target | Date recruitment<br>closed | Trial Status                   | Target<br>met? |
|-------------------------------|-------------------------|---|-----------------------|----------------------------|--------------------------------|----------------|
| 1                             | 06/MRE01/102            | A Multi-center, Randomized, Double-blind, Placebo-controlled,<br>Phase 3 Study of Single-agent Tarceva® (erlotinib) Following<br>Complete Tumor Resection with or without Adjuvant<br>Chemotherapy in Patients with Stage IB-IIIA Non small Cell<br>Lung Carcinoma who have | 10                    | 31/03/2010                 | Closed - follow up<br>complete | N              |
| 2                             | 08/H0502/132            | BH21260- A randomised, controlled, open-label, multi-centre,<br>parallel-group study to assess all-cause mortality and<br>cardiovascular morbidity in patients with chronic kidney<br>disease on dialysis and those not on renal replacement therapy<br>under treatment wit | 9                     | 14/10/2011                 | Closed - follow up<br>complete | Y              |
| 3                             | 09/H0706/22             | Aliskiren vs enalapril in chronic heart failure   | 4                     | 14/12/2013                 | Closed - follow up<br>complete | Y              |
| 4                             | 09/H0802/125            | An OpenLabel, MultiCenter, Single Arm Study to Evaluate the<br>Safety and Tolerability of Intravenous Zanamivir in the<br>Treatment of Hospitalised Adult, Adolescent and Paediatric<br>Subjects with Confirmed Influenza Infection   | 1                     | 27/01/2017                 | Closed - follow up<br>complete | N              |
| 5                             | 12/SC/0527              | A Randomized, Double-Blind, Parallel-Group, Placebo-<br>Controlled Study to Assess the Efficacy, Safety, Tolerability,<br>and Pharmacokinetics of BIIB033 in Subjects With First Episode<br>of Acute Optic Neuritis   | 2                     | 26/02/2014                 | Closed - follow up<br>complete | N              |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial  | Recruitment<br>Target | Date recruitment<br>closed | Trial Status          | Target<br>met? |
|-------------------------------|-------------------------|--|-----------------------|----------------------------|-----------------------|----------------|
| 6                             | 07/MRE08/40             | A double-blind randomized, placebo-controlled Phase III study<br>to assess the efficacy of recMAGE-A3 + AS15 Antigen -Specific<br>Cancer immunotherapeutic as adjuvant therapy in patients<br>with resectable MAGE-A3 - postive Non -Small Cell Lung Cancer                  | 12                    | 31/05/2012                 | Closed - in follow up | N              |
| 7                             | 09/H0605/87             | TECOS - A Randomised, Placebo Controlled Clinical Trial to<br>Evaluate Cardiovascular Outcomes after Treatment with<br>Sitagliptin in Patients with Type 2 Diabetes Mellitus and<br>Inadequate Glycaemic Control on Mono or Dual Combination<br>Oral Antihyperglycaemic The  | 35                    | 31/05/2012                 | Closed - in follow up | Y              |
| 8                             | 09/H0406/110            | A multicenter, randomized, double-blind, parallel group, active-<br>controlled study to evaluate the efficacy and safety of LCZ696<br>compared to enalapril on morbidity and mortality in patients<br>with chronic heart failure and reduced ejection fraction<br>(CLCZ696B2 | 6                     | 11/10/2012                 | Closed - in follow up | Y              |
| 9                             | 09/H0402/101            | A Randomized, Multicenter, Double-Blind, Parallel, Placebo-<br>Controlled Study of the Effects of JNJ-28431754 on<br>Cardiovascular Outcomes in Adult Subjects With Type 2<br>Diabetes Mellitus (The CANVAS Trial: CANagliflozin<br>CardioVascular Assessment Study)         | 8                     | 31/01/2011                 | Closed - in follow up | Y              |
| 10                            | 09/H0206/40             | A Phase I/II Multi-centre Study of AZD8931 in Combination<br>with Weekly Paclitaxel to Assess the Safety, Tolerability,<br>Pharmacokinetics and Efficacy in Patients with Advanced Solid<br>Tumours and in a selected population with Low HER2-<br>expressing Locally Recurr | 10                    | 30/03/2011                 | Closed - in follow up | N              |

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|-------------------------------|-------------------------|--|-----------------------|----------------------------|-----------------------|----------------|
| 11                            | 10/H0405/23             | A prospective, multi-center, randomized, double-blind trial to<br>assess the<br>effectiveness and safety of 12 versus 30 months of dual<br>antiplatelet therapy<br>(DAPT) in subjects undergoing percutaneous coronary<br>intervention (PCI) with<br>either drug-eluting stent | 22                    | 31/05/2011                 | Closed - in follow up | Y              |
| 12                            | 09/H0405/44             | A Randomized Double-blind Placebo-Controlled Trial of<br>Neratinib (HKI-272) After Trastuzumab in Women With Early-<br>Stage HER-2/neu Overexpressed/Amplified Breast Cancer.  | 10                    | 10/10/2011                 | Closed - in follow up | N              |
| 13                            | 10/H0808/109            | Evaluation of XIENCE PRIME <sup>™</sup> or XIENCE V <sup>®</sup> versus Coronary<br>Artery Bypass Surgery for Effectiveness of Left Main<br>Revascularization.   | 25                    | 30/04/2014                 | Closed - in follow up | Y              |
| 14                            | 11/YH/0121              | A phase II, randomized double-blind study of efficacy and<br>safety of two dose levels of LDE225 in patients with locally<br>advanced or metastatic basal cell carcinoma   | 2                     | 11/01/2013                 | Closed - in follow up | Y              |
| 15                            | 11/NW/0298              | A multicenter, Phase III, open-label, randomized study in<br>previously untreated patients with advanced indolent non-<br>Hodgkin's Lymphoma comparing GA101 (Ro5072759) plus<br>chemotherapy with rituximab plus chemotherapy followed by<br>GA101 or rituximab maintenance   | 5                     | 17/01/2014                 | Closed - in follow up | Y              |
| 16                            | 11/NW/0472              | Phase III, open-label, multicentre, randomised trial to establish<br>safety and efficacy of an EGF cancer vaccine in inoperable, late<br>stage (IIIb/IV) NSCLC patients eligible to receive standard<br>treatment and supportive care.   | 6                     | 27/11/2013                 | Closed - in follow up | Y              |

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|-------------------------------|-------------------------|---|-----------------------|----------------------------|-----------------------|----------------|
| 17                            | 11/AL/0327              | A Phase 2, Multicentre, randomised, double-blind, placebo<br>controlled study of the safety, clinical activity and<br>pharmacokinetics of Bosutinib (PF-05208763) versus placebo<br>in subjects with autosomal dominant polycycstic kidney<br>disease (ADPKD)               | 5                     | 25/05/2012                 | Closed - in follow up | N              |
| 18                            | 11/EM/0270              | A Phase 2b, Randomized, Double-blind Study to Evaluate the<br>Efficacy of Tralokinumab in Adults with Uncontrolled, Severe<br>Asthma  | 4                     | 14/05/2012                 | Closed - in follow up | N              |
| 19                            | 11/LO/0548              | An open-label, randomized, multi-center, Phase III study to<br>compare the safety and efficacy of TKI258 versus sorafenib in<br>patients with metastatic renal cell carcinoma after failure of<br>anti-angiogenic (VEGF-targeted and mTOR inhibitor Therapies)              | 3                     | 10/08/2012                 | Closed - in follow up | N              |
| 20                            | 11/LO/1728              | Determination of the efficacious and safe dose of ivabradine in<br>paediatric patients with dilated cardiomyopathy and<br>symtomatic chronic heart failure aged from 6 months to less<br>than 18 years. A randomised, double-blind, multicentre,<br>placebo controlled, pha | 5                     | 15/02/2013                 | Closed - in follow up | Ν              |
| 21                            | 11/EM/0180              | A clinical outcomes study to evaluate the effects of IL-6<br>receptor blockade with tocilizumab (TCZ) in comparison with<br>etanercept (ETA) on the rate of cardiovascular events in<br>patients with moderate to severe rheumatoid arthritis (RA)                          | 6                     | 13/03/2013                 | Closed - in follow up | N              |
| 22                            | 11/WA/0309              | A Comparison of LY2605541 versus Insulin Glargine as Basal<br>Insulin Treatment in Combination with Oral AntiHyperglycemia<br>Medications in Insulin Naive Patients with Type 2 Diabetes<br>Mellitus: A DoubleBlind, Randomized Study: The IMAGINE 2<br>Study.              | 6                     | 27/11/2012                 | Closed - in follow up | N              |

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|-------------------------------|-------------------------|---|-----------------------|----------------------------|-----------------------|----------------|
| 23                            | 11/LO/1408              | ABSORB II RANDOMIZED CONTROLLED TRIAL: A clinical<br>evaluation to compare the safety, efficacy and performance of<br>ABSORB TM everolimus eluting bioresorbable vascular scaffold<br>system against XIENCE PRIME TM everolimus eluting coronary<br>stent system in the tre | 10                    | 04/06/2013                 | Closed - in follow up | Y              |
| 24                            | 12/EE/0005              | A multicentre, double blind, randomised controlled Clinical<br>Investigation to validate the EPS1 device as a treatment for<br>stroke induced dysphagia. A Study of Swallowing Treatment<br>using Electrical Pharyngeal Stimulation (STEPS Study)                           | 3                     | 30/06/2014                 | Closed - in follow up | Y              |
| 25                            | 11/EE/0291              | A Phase 3, Randomised, Open Label Trial of Lenalidomide/<br>dexamethasone With or Without Elotuzumab in Relapsed or<br>Refractory Multiple Myeloma  | 7                     | 26/09/2012                 | Closed - in follow up | N              |
| 26                            | 11/NE/0124              | A Phase III, Randomized, Comparative, Openlabel Study of<br>Intravenous Iron Isomaltoside 1000 (Monofer®) Administered<br>as Maintenance Therapy by Single or Repeated Bolus Injections<br>in Comparison with Intravenous Iron Sucrose in Subjects with<br>Stage 5 Chronic  | 10                    | 09/08/2013                 | Closed - in follow up | Y              |
| 27                            | 12/SC/0035              | A 6-month safety and benefit study of inhaled fluticasone<br>propionate/ salmeterol combination versus inhaled fluticasone<br>propionate in the treatment of 6,200 paediatric subjects 4-11<br>years old with persistent asthma.  | 6                     | 31/08/2015                 | Closed - in follow up | N              |

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|-------------------------------|-------------------------|---|-----------------------|----------------------------|-----------------------|----------------|
| 28                            | 12/EM/0018              | A randomised, double-blind, placebo-controlled, event-driven<br>trial of quarterly subcutaneous canakinumab in the prevention<br>of recurrent cardiovascular events among stable post-<br>myocardial infaction patients with elevated hsCRP.  | 10                    | 28/01/2014                 | Closed - in follow up | N              |
| 29                            | 11/LO/0608              | A Randomized, Double Blind, Phase II Efficacy and Safety Study<br>of MDV3100 (ASP9785) vs. Bicalutamide in Castrate Men with<br>Metastatic Prostate Cancer  | 4                     | 14/06/2013                 | Closed - in follow up | N              |
| 30                            | 12/WS/0230              | NEFIGAN Trial: A Multicentre, Interventional treatment,<br>Randomized, Double-Blind, Single Group Assignment Placebo<br>Controlled Study to Evaluate the Efficacy and Safety of Two<br>Different Doses of Nefecon <sup>®</sup> in primary IgA nephropathy<br>patients at risk of develo | 4                     | 20/12/2013                 | Closed - in follow up | Y              |
| 31                            | 12/EM/0284              | A multi-centre, open-label, long term safety study of<br>mepolizumab in asthmatic subjects who participated in the<br>MEA112997 trial   | 5                     | 25/03/2013                 | Closed - in follow up | Y              |
| 32                            | 12/LO/1285              | A prospective, randomized, open label, parallel group, active<br>controlled, multicenter study exploring the efficacy and safety<br>of once daily oral rivaroxaban (BAY 597939) compared with<br>that of dose adjusted oral vitamin K antagonists (VKA) for the<br>preventi             | 13                    | 30/09/2013                 | Closed - in follow up | Y              |
| 33                            | 12/EM/0308              | A multicenter, double-blind and open label, 2 year extension<br>study of subcutaneous secukinumab in prefilled syringes,<br>assessing long-term safety, tolerability and efficacy in subjects<br>with moderate to severe chronic plaque-type psoriasis treated<br>with eith             | 2                     | 28/02/2013                 | Closed - in follow up | Y              |
| 34                            | 12/NE/0314              | Safety, Efficacy and Pharmacokinetics of NNC-0156-0000-0009<br>in Previously Treated Children with Haemophilia B  | 1                     | 28/02/2013                 | Closed - in follow up | Y              |

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|-------------------------------|-------------------------|--|-----------------------|----------------------------|-----------------------|----------------|
| 35                            | 12/LO/1590              | A phase III randomised, partially double-blind and placebo-<br>controlled study of BI 207127 in combination with faldaprevir<br>and ribavirin for chronic genotype 1 hepatitis C infection in an<br>extended population of treatment naïve patients that includes<br>those i | 1                     | 23/03/2013                 | Closed - in follow up | N              |
| 36                            | 11/EE/0311              | A randomized study comparing maintenance therapy with<br>subcutaneous rituximab continued until progression with<br>observation only in patients with relapsed or refractory,<br>indolent nonHodgkin's lymphoma who completed and<br>responded to rituximabbased immunochem  | 5                     | 31/03/2014                 | Closed - in follow up | Y              |
| 37                            | 12/EM/0349              | A multicenter, open-label, randomized phase II study to<br>evaluate the efficacy of AUY922 vs pemetrexed or docetaxel in<br>NSCLC patients with EGFR mutations who have progressed on<br>prior EGFR TKI treatment  | 3                     | 11/06/2014                 | Closed - in follow up | Y              |
| 38                            | 12/EM/0395              | A randomised, double-blind, parallel group, multicentre phase<br>IIIb study to compare ticagrelor with clopidogrel treatment on<br>the risk of cardiovascular death, myocardial infarction and<br>ischaemic stroke in patients with established Peripheral Artery<br>Diseas  | 30                    | 27/02/2014                 | Closed - in follow up | Y              |
| 39                            | 12/SC/0435              | Efficacy and safety of 3 doses of S38093 (2, 5 and 20mg/day)<br>versus placebo in coadministration with donepezil (10mg/day)<br>in patients with moderate Alzheimer's Disease. A 24 week<br>international, multicentre, randomised, doubleblind,<br>placebocontrolled phase  | 10                    | 31/07/2014                 | Closed - in follow up | Ν              |
| 40                            | 13/NE/0001              | NN7088-3885 (Pathfinder 5): A multinational, open-label, non-<br>controlled trial on safety, efficacy and pharmacokinetics of<br>NNC 0129-0000-1003 in previously treated paediatric patients<br>with severe haemophilia A   | 1                     | 07/02/2014                 | Closed - in follow up | Y              |

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|-------------------------------|-------------------------|---|-----------------------|----------------------------|-----------------------|----------------|
| 41                            | 13/YH/0003              | An Open-label, Single arm, Multicenter Phase 2 Study of the<br>Bruton's Tyrosine Kinase Inhibitor PCI-32765 (Ibrutinib) in<br>Patients with Relapsed or Refractory Chronic Lymphocytic<br>Leukaemia or Small Lymphocytic Lymphoma with 17p Deletion             | 1                     | 31/08/2013                 | Closed - in follow up | Y              |
| 42                            | 12HAEM15                | Polycythemia Vera Symptom Study Evaluating Ruxolitinib<br>Versus Hydroxyurea in a Randomized, Multicenter, Double-<br>Blind, Double-Dummy, Phase 3 Efficacy and Safety Study of<br>Patient Reported Outcomes  | 5                     | 22/11/2013                 | Closed - in follow up | N              |
| 43                            | 13/EM/0097              | MEA115661: A multi-centre, open-label, long-term safety<br>study of mepolizumab in asthmatic subjects who participated<br>in the MEA115588 or MEA115575 trials  | 8                     | 11/12/2013                 | Closed - in follow up | N              |
| 44                            | 12/LO/1697              | BEL114674: A 2 year study of efficacy and safety of<br>intravenous belimumab versus placebo in subjects with<br>idiopathic<br>membranous nephropathy  | 1                     | 25/10/2013                 | Closed - in follow up | N              |
| 45                            | 13/EM/0084              | A Phase 2/3, Multi-Center, Open Label Study of Efficacy,<br>Safety, and Pharmacokinetics of PEGylated Recombinant<br>Factor VIII (BAX 855) Administered for Prophylaxis and<br>Treatment of Bleeding in Previously Treated Patients with<br>Severe Hemophilia A | 1                     | 31/10/2013                 | Closed - in follow up | Y              |
| 46                            | 13/SC/0010              | A Phase IIa, Randomized, Double-blind, Placebo-controlled,<br>Parallel Group Study to Assess the Safety and Efficacy of 28<br>Day Oral Administration of BAY 85-8501 in Patients with non-<br>Cystic Fibrosis Bronchiectasis                                    | 3                     | 10/04/2014                 | Closed - in follow up | Y              |

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|-------------------------------|-------------------------|--|-----------------------|----------------------------|-----------------------|----------------|
| 47                            | 11/SC/0438              | REPLACE (Randomised evaluation of fibrinogen versus placebo<br>in complex cardiovascular surgery): a prospective,<br>multinational, multicenter, randomised, double-blind, placebo-<br>controlled, phase III study for the use of Fibrinogen<br>Concentrate (Human) (FCH) in | 7                     | 31/07/2014                 | Closed - in follow up | N              |
| 48                            | 13/NI/0044              | A STUDY TO MEASURE SERUM PERIOSTIN, ASTHMA RELATED<br>BIOMARKERS AND RESPONSE TO PREDNISOLONE IN ADULT<br>AND ADOLESCENT PATIENTS WITH SEVERE ORAL<br>CORTICOSTEROID DEPENDENT ASTHMA  | 6                     | 28/02/2014                 | Closed - in follow up | N              |
| 49                            | 13/NE/0080              | A randomized, open-label, active-controlled, 3-arm parallel-<br>group, 26-week study comparing the efficacy and safety of<br>lixisenatide to that of insulin glulisine once daily and insulin<br>glulisine three times daily in patients with Type 2 diabetes<br>insufficien | 3                     | 02/06/2014                 | Closed - in follow up | N              |
| 50                            | 13/LO/0033              | A Phase II, randomized study or paclitaxel with GDC-0941<br>versus paclitaxel with placebo in patients with locally recurrent<br>or metastatic breast cancer   | 2                     | 01/07/2014                 | Closed - in follow up | Y              |
| 51                            | 13/EE/0247              | A Phase 2a, 4-Week Treatment Period, Randomized, Double-<br>Blind, Parallel Group, PA Phase 2a, 4-Week Treatment Period,<br>Randomized, Double-Blind, Parallel Group, Placebo-Controlled<br>Proof of Concept Study to Evaluate Efficacy, Safety and<br>Tolerability of Inhal | 6                     | 13/06/2014                 | Closed - in follow up | Y              |
| 52                            | 13/EM/0414              | A Double-blind, Randomised, Placebo-controlled Study to<br>Investigate the Efficacy and Safety of Mepolizumab in the<br>Treatment of Eosinophilic Granulomatosis with Polyangiitis in<br>Subjects Receiving Standard of Care Therapy.  | 3                     | 31/07/2014                 | Closed - in follow up | Y              |

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|-------------------------------|-------------------------|--|-----------------------|----------------------------|-----------------------|----------------|
| 53                            | 13/LO/0578              | A randomized, double blind, placebo controlled, multi-centre<br>study to assess the pharmacodynamics, pharmacokinetics,<br>safety and tolerability of BYM338 in chronic obstructive<br>pulmonary disease patients with cachexia  | 3                     | 18/06/2014                 | Closed - in follow up | N              |
| 54                            | 14/SC/0104              | A Phase 2b, Randomized, Double blind, Placebo-controlled,<br>Parallel group, Multicentre, Dose finding Study to evaluate the<br>Efficiency, Safety and Tolerability of AZDI1722 to Treat<br>Hyperphosphataemia in End-Stage Renal Disease on<br>Haemodialysis(ESRD-HD)       | 5                     | 31/10/2014                 | Closed - in follow up | Y              |
| 55                            | 14/EE/0076              | A Phase 4, Randomized, Double-Blind Study with a Safety<br>Extension Period to Evaluate the Effect of Aspirin on Flushing<br>Events in Subjects with Relapsing-Remitting Multiple Sclerosis<br>(MS) Treated with Tecfidera™ (dimethyl fumarate) delayed-<br>release capsules | 6                     | 07/11/2014                 | Closed - in follow up | Y              |
| 56                            | 14/NW/0017              | A Prospective, Single-Arm, Clinical-Setting Study to Describe<br>Efficacy, Tolerability and Convenience of Teriflunomide<br>Treatment Using Patient Reported Outcomes (PROs) in<br>Relapsing Multiple Sclerosis (RMS) Patients   | 7                     | 07/11/2014                 | Closed - in follow up | N              |
| 57                            | 10/MREC00/61            | An Open Label, Multicenter, randomized, phase III study to<br>investigate the efficacy and safety of Bendamustine compared<br>with Bendamustine + RO5072759 (GA101) in patients with<br>Rituximab-refractory, indolent Non-Hodgkin?s Lymphoma)                               | 6                     | 01/08/2014                 | Open                  | N/A            |

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|-------------------------------|-------------------------|---|-----------------------|----------------------------|--------------|----------------|
| 58                            | 11/LO/0954              | LUXHead & Neck 2; A randomised, doubleblind, placebo<br>controlled, phase III study to evaluate the efficacy and safety<br>of afatinib (BIBW 2992) as adjuvant therapy after<br>chemoradiotherapy in primary unresected patients with stage<br>III, IVa, or IVb locoregiona | 5                     | 01/05/2017                 | Open         | N/A            |
| 59                            | 11/SS/0054              | Clinical Trial of the SonRtip Lead and Automiatic AVV<br>Optimization Algorithm in the Paradym RF SonR CRT-D<br>(RESPOND CRT)   | 10                    | 31/12/2014                 | Open         | N/A            |
| 60                            | 12/LO/0098              | CAIN457 to assess safety tolerability efficacy & PK in asthma   | 5                     | 28/02/2014                 | Open         | N/A            |
| 61                            | 12/WM/0039              | INOVATEHF:<br>INcrease Of VAgal TonE in chronic Heart Failure.  | 10                    | 31/12/2014                 | Open         | N/A            |
| 62                            | 12/SC/0434              | A randomised, multicentre, double blind, placebo controlled,<br>crossover trial determining the efficacy of dry powder<br>mannitol in improving lung function in subjects with Cystic<br>Fibrosis aged six to seventeen years   | 4                     | 31/12/2014                 | Open         | N/A            |
| 63                            | 13/NW/0003              | A RANDOMIZED, DOUBLE–BLIND, PLACEBO–CONTROLLED,<br>PHASE-3 STUDY TO ASSESS THE SAFETY AND EFFICACY OF ART-<br>123 IN SUBJECTS WITH SEVERE SEPSIS AND COAGULOPATHY   | 6                     | 01/03/2015                 | Open         | N/A            |
| 64                            | 12/LO/1125              | Occlutech percutaneous PFO closure:Safety and Efficacy<br>OPPOSE Registry   | 15                    | 31/12/2014                 | Open         | N/A            |
| 65                            | 13/ES/0005              | A Phase 3, Multicenter, Randomized, Double-blind Study to<br>Compare the Efficacy and Safety of Oral Azacitidine Plus Best<br>Supportive Care versus Placebo Plus Best Supportive Care in<br>Subjects with Red Blood Cell Transfusion-dependent Anemia<br>and Thrombocytope | 4                     | 15/06/2015                 | Open         | N/A            |

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| 66                            | 13/SC/0092              | A multicenter, randomized, double-blind, parallel-group,<br>placebo-controlled variable treatment duration study<br>evaluating the efficacy and safety of siponimod (BAF312) in<br>patients with secondary progressive multiple sclerosis                             | 6                     | 29/05/2014                 | Open         | N/A            |
| 67                            | 13/SC/0102              | A Phase II/III Randomized Trial of Two Doses of MK-3475<br>(SCH900475) versus Docetaxel in Previously Treated Subjects<br>with Squamous Histology Non-Small Cell Lung Cancer  | 3                     | 16/12/2014                 | Open         | N/A            |
| 68                            | 13/SC/0073              | A Double-blind, Randomized, Placebo-controlled, Multicenter,<br>Dose<br>Escalation Study to Select and Evaluate an Oral Modified<br>Release Formulation of Omecamtiv Mecarbil in Subjects with<br>Heart Failure and Left Ventricular Systolic Dysfunction             | 6                     | 31/08/2013                 | Open         | N/A            |
| 69                            | 12/LO/1825              | A Phase 3, Multicenter, Randomized, Double-Blind, Placebo<br>Controlled Study of Rilotumumab (AMG 102) with Epirubicin,<br>Cisplatin, and Capecitabine (ECX) as First-line Therapy in<br>Advanced MET-Positive Gastric or Gastroesophageal Junction<br>Adenocarcinoma | 2                     | 01/01/2015                 | Open         | N/A            |
| 70                            | 13/LO/0105              | Open-label, single-arm, phase IV, multicentre trial to explore<br>the immunogenicity of the liquid formulation of Saizen <sup>®</sup> in<br>subjects with growth hormone deficiency (GHD) of adult onset  | 4                     | 01/02/2015                 | Open         | N/A            |
| 71                            | 13/WA/0084              | A Randomised, Double-Blind, Placebo-Controlled, Multicenter<br>Study to Assess Cardiovascular Outcomes Following Treatment<br>with MK-3102 in Subjects with Type 2 Diabetes Mellitus  | 7                     | 06/10/2014                 | Open         | N/A            |

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| 72                            | 13/LO/0418              | A multi-centre, randomised, placebo controlled double blind,<br>two armed, parallel group study to evaluate efficacy and safety<br>of IV Sildenafil in the treatment of neonates persistent<br>pulmonary hypertension of the newborn (PPHN) or hypoxic<br>respiratory failu | 3                     | 31/03/2014                 | Open         | N/A            |
| 73                            | 13/LO/0326              | A Randomized, Phase 3 Study of Ganetespib in Combination<br>with Docetaxel versus Docetaxel Alone in Patients with<br>Advanced Non-Small-Cell Lung Adenocarcinoma   | 8                     | 02/03/2015                 | Open         | N/A            |
| 74                            | 13/WM/0114              | A Randomized, Double-blind, Placebo-controlled Phase 3 Study<br>of the Bruton's Tyrosine Kinase (BTK) Inhibitor, PCI-32765<br>(Ibrutinib), in Combination with Bendamustine and Rituximab<br>(BR) in Subjects With Newly Diagnosed Mantle Cell Lymphoma                     | 5                     | 30/10/2014                 | Open         | N/A            |
| 75                            | 13/LO/0021              | Prevention of Recurrence in Early-Stage, Node-Positive Breast<br>Cancer with Low to Intermediate HER2 Expression with<br>NeuVax™ Treatment (PRESENT)  | 6                     | 31/01/2015                 | Open         | N/A            |
| 76                            | 13/YH/0140              | Effect of ivabradine versus placebo on cardiac function,<br>exercise capacity, and neuroendocrine activation in patients<br>with Chronic Heart Failure with Preserved left ventricular<br>Ejection Fraction<br>An 8-month, randomised double-blind, placebo controlled, int | 6                     | 15/06/2015                 | Open         | N/A            |
| 77                            | 13/EM/0251              | A multicenter, randomized, double-blind, placebo- controlled<br>phase III study to evaluate the efficacy, safety and tolerability<br>of Serelaxin when added to standard therapy in acute heart<br>failure patients   | 6                     | 21/12/2015                 | Open         | N/A            |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial   | Recruitment<br>Target | Date recruitment<br>closed | Trial Status | Target<br>met? |
|-------------------------------|-------------------------|---|-----------------------|----------------------------|--------------|----------------|
| 78                            | 13/EM/0230              | A Phase II Randomized, Double-Blind, Placebo-Controlled,<br>Multicenter Study of VS 6063 in Subjects with Malignant<br>Pleural Mesothelioma   | 10                    | 01/08/2015                 | Open         | N/A            |
| 79                            | 13/EM/0149              | Multicenter open-label study to evaluate efficacy of<br>gadobutrol-enhanced cardiac magnetic resonance imaging<br>(CMRI) for detection of significant coronary artery disease<br>(CAD) in subjects with known or suspected CAD by a blinded<br>image analysis | 15                    | 12/02/2015                 | Open         | N/A            |
| 80                            | 13/NW/0006              | A randomized, double-blind, placebo-controlled, phase 3 study<br>of brentuximab vedotin and CHP (A+CHP) versus CHOP in the<br>frontline treatment of patients with CD30-positive mature T-<br>cell lymphomas  | 3                     | 31/03/2015                 | Open         | N/A            |
| 81                            | 12/LO/1950              | A Randomized, Open-label, Phase 3 Trial of A+AVD Versus<br>ABVD as Frontline Therapy in Patients With Advanced Classical<br>Hodgkin Lymphoma  | 8                     | 28/11/2014                 | Open         | N/A            |
| 82                            | 13/LO/0219              | A Phase 2, Randomized, Double-Blind, Placebo-Controlled,<br>Multi-Center Study to Assess the Efficacy and Safety of GS-<br>6624 in Subjects with Idiopathic Pulmonary Fibrosis (RAINIER)  | 5                     | 01/12/2016                 | Open         | N/A            |
| 83                            | 13/LO/0491              | A Phase IIa, Double-Blind, Placebo-Controlled, Randomised,<br>Multi-centre Study of POL6326, a CXCR4 Antagonist, in<br>Patients with Large Reperfused ST-Elevation Myocardial<br>Infarction   | 5                     | 30/11/2014                 | Open         | N/A            |
| 84                            | 13/LO/0704              | A dose ranging study investigating the efficacy and safety of<br>sublingual<br>immunotherapy tablets of house dust mite allergen extracts in<br>adults with house dust mite-associated allergic asthma  | 3                     | 15/12/2014                 | Open         | N/A            |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial   | Recruitment<br>Target | Date recruitment<br>closed | Trial Status | Target<br>met? |
|-------------------------------|-------------------------|---|-----------------------|----------------------------|--------------|----------------|
| 85                            | 12/WM/0341              | A Double-blind, Randomized, Placebo-controlled, Multicenter<br>Study Assessing the Impact of Additional LDL-Cholesterol<br>Reduction on Major Cardiovascular Events When AMG 145 is<br>Used in Combination With Statin Therapy In Patients with<br>Clinically Evident Cardi | 10                    | 30/11/2014                 | Open         | N/A            |
| 86                            | 12/NW/0694              | A 12-week randomized, open-label, active comparator period<br>followed by a 12-week safety extension period to evaluate the<br>safety and efficacy of Fesoterodine in subjects aged 6 to 16<br>years and >25 kg with symptoms of detrusor overactivity<br>associated with a | 2                     | 31/12/2014                 | Open         | N/A            |
| 87                            | 13/EM/0284              | A phase III, multicenter, randomized, open-label study of oral<br>LDK378 versus standard chemotherapy in adult patients with<br>ALK-rearranged (ALK-positive) advanced<br>non-small cell lung cancer who have been treated previously<br>with chemotherapy (platinum double | 10                    | 29/12/2014                 | Open         | N/A            |
| 88                            | 13/EE/0241              | Secukinumab In patients with moderate to severe active,<br>chronic plaque psoriasis who have failed on TNFa antaGoNists:<br>A clinical Trial EvalUating Treatment REsults (SIGNATURE)   | 2                     | 09/10/2014                 | Open         | N/A            |
| 89                            | 13/NW/0692              | A multicenter, international, randomized, parallel group,<br>double blind, placebo-controlled CArdiovascular Safety & Renal<br>Microvascular outcomE with LINAgliptin, 5 mg once daily in<br>patients with type   | 10                    | 31/03/2016                 | Open         | N/A            |
| 90                            | 13/EE/0326              | REVACEPT, AN INHIBITOR OF PLATELET ADHESION IN<br>SYMPTOMATIC CAROTID STENOSIS: A PHASE II, MULTICENTRE;<br>RANDOMISED, DOSE-FINDING, DOUBLE-BLIND AND PLACEBO-<br>CONTROLLED SUPERIORITY STUDY WITH PARALLEL GROUPS  | 6                     | 30/06/2015                 | Open         | N/A            |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial   | Recruitment<br>Target | Date recruitment<br>closed | Trial Status | Target<br>met? |
|-------------------------------|-------------------------|---|-----------------------|----------------------------|--------------|----------------|
| 91                            | 13/EE/0377              | A Phase 2b Study to Evaluate the Safety and Efficacy of Elagolix<br>in Premenopausal Women with Heavy Menstrual Bleeding<br>Associated with Uterine Fibroids  | 3                     | 31/08/2014                 | Open         | N/A            |
| 92                            | 12/YH/0475              | A PHASE Ib MULTICENTER DOSE-FINDING AND SAFETY STUDY<br>OF GDC-0199 AND OBINUTUZUMAB IN PATIENTS WITH<br>RELAPSED OR REFRACTORY OR PREVIOUSLY UNTREATED<br>CHRONIC LYMPHOCYTIC LEUKEMIA   | 5                     | 01/09/2014                 | Open         | N/A            |
| 93                            | 13/SC/0384              | A Phase 2, Randomized, Double-blind Study Comparing<br>Tremelimumab to Placebo in Second- or Third-line Treatment<br>of Subjects with Unresectable Pleural or Peritoneal Malignant<br>Mesothelioma  | 2                     | 30/03/2015                 | Open         | N/A            |
| 94                            | 13/NI/0148              | A phase II, randomised, doubleblind, placebocontrolled,<br>multicentre trial to assess the oral corticosteroidsparing effect<br>of lebrikizumab in patients with with severe<br>corticosteroiddependant asthma  | 12                    | 27/05/2018                 | Open         | N/A            |
| 95                            | 13/LO/1517              | Phase 3, Randomized, Open Label, Active-Controlled Study to<br>Evaluate the Efficacy and Safety of FG4592 in the Treatment of<br>Anemia in Chronic Kidney Disease Patients Not on Dialysis  | 4                     | 04/05/2015                 | Open         | N/A            |
| 96                            | 13/SC/0490              | A Phase III, randomised, double-blind, placebo-controlled<br>study to assess the efficacy, safety and tolerability of<br>lebrikizumab in adolescent patients with uncontrolled asthma<br>who are on inhaled corticosteroids and second controller<br>medication.            | 5                     | 31/08/2018                 | Open         | N/A            |
| 97                            | 13/SC/0616              | A Phase II, randomised, observer-blind, placebo-controlled,<br>multi-centre study to evaluate the safety, reactogenicity and<br>immunogenicity of GSK Biologicals' investigational vaccine<br>GSK2838504A, when administered intramuscularly according<br>to a 0, 2 month s | 10                    | 29/07/2016                 | Open         | N/A            |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial  | Recruitment<br>Target | Date recruitment<br>closed | Trial Status | Target<br>met? |
|-------------------------------|-------------------------|--|-----------------------|----------------------------|--------------|----------------|
| 98                            | 14/ES/0001              | Randomised, Double-Blind, Placebo-Controlled, Parallel-Group<br>Study to Assess Cardiovascular Outcomes Following Treatment<br>with Ertugliflozin (MK-8835/PF-04971729) in Participants with<br>Type 2 Diabetes Mellitus and Established Vascular Disease                | 7                     | 05/05/2015                 | Open         | N/A            |
| 99                            | 13/EM/0460              | A RANDOMIZED, MULTICENTER, OPEN-LABEL TRIAL<br>COMPARING CHEMOTHERAPY PLUS TRASTUZUMAB PLUS<br>PERTUZUMAB VERSUS CHEMOTHERAPY PLUS TRASTUZUMAB<br>EMTANSINE PLUS PERTUZUMAB AS ADJUVANT THERAPY IN<br>PATIENTS WITH OPERABLE HER2 POSITIVE PRIMARY BREAST<br>CANCER      | 7                     | 14/02/2016                 | Open         | N/A            |
| 100                           | 14/EM/0101              | An exploratory, 12 week, randomised, partially double-blinded,<br>placebo-controlled, parallel group trial to explore the effects of<br>once daily treatments of orally inhaled tiotropium + olodaterol<br>fixed dose combination or tiotropium (both delivered by the R | 3                     | 20/10/2014                 | Open         | N/A            |
| 101                           | 14/SC/0185              | A Randomized, Double-Blind Trial Comparing the Effect of<br>Dulaglutide 1.5 mg with Placebo on Glycemic Control in<br>Patients with Type 2 Diabetes on Basal Insulin Glargine<br>(AWARD-9: Assessment of Weekly AdministRation of<br>LY2189265 in Diabetes - 9)          | 5                     | 01/06/2015                 | Open         | N/A            |
| 102                           | 13/WM/0451              | A Multicenter, Phase 2, Single Arm, Two Cohort Study<br>Evaluating the Efficacy, Safety, and Pharmacokinetics of<br>AMG337 in subjects with MET Amplified<br>Gastric/Gastroesophageal Junction/Esophageal<br>Adenocarcinoma or Other MET Amplified Solid Tumors          | 3                     | 22/05/2015                 | Open         | N/A            |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial  | Recruitment<br>Target | Date recruitment<br>closed | Trial Status | Target<br>met? |
|-------------------------------|-------------------------|--|-----------------------|----------------------------|--------------|----------------|
| 103                           | 14/EE/0102              | A prospective, randomized, open-label, blinded endpoint<br>evaluation (PROBE) parallel group study comparing edoxaban<br>(DU-176b) with enoxaparin/warfarin followed by warfarin<br>alone in subjects undergoing planned electrical cardioversion<br>of nonvalvular atrial             | 9                     | 31/12/2015                 | Open         | N/A            |
| 104                           | 14/EM/0209              | An open label, intra-subject, controlled multi-centre study to<br>assess the concordance (specificity and sensitivity) between<br>Colourstart <sup>®</sup> Test 73 mcg Cutaneous Patch and Finn Chamber<br>in the detection of para-Phenylenediamine (PPD) allergy in<br>subjects with | 30                    | 29/12/2014                 | Open         | N/A            |
| 105                           | 14/SC/0065              | A Randomized, Multicenter, Double-Blind, Parallel, Placebo-<br>Controlled Study of the Effects of Canagliflozin on Renal<br>Endpoints in Adult Subjects With Type 2 Diabetes Mellitus  | 8                     | 15/06/2015                 | Open         | N/A            |
| 106                           | 14/LO/0673              | A Phase 3, Randomized, Placebo-Controlled, Double-Blind<br>Study of Oral Ixazomib Citrate (MLN9708) Maintenance<br>Therapy in Patients With Multiple Myeloma Following<br>Autologous Stem Cell Transplant  | 8                     | 28/02/2017                 | Open         | N/A            |
| 107                           | 14/YH/0086              | RESPOND: Repositionable Lotus Valve System – Post Market<br>Evaluation of<br>Real World Clinical Outcomes  | 15                    | 11/12/2020                 | Open         | N/A            |
| 108                           | 14/LO/1050              | A Multicenter Phase 3 Randomized Open-Label Study of<br>Bosutinib versus Imatinib in Adult Patients with Newly<br>Diagnosed Chronic Phase Chronic Myelogenous Leukemia.  | 7                     | 01/06/2016                 | Open         | N/A            |
| 109                           | 14/YH/0141              | nMARQ <sup>™</sup> Pulmonary Vein Isolation System for the Treatment<br>of Paroxysmal Atrial Fibrillation  | 25                    | 01/10/2018                 | Open         | N/A            |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial  | Recruitment<br>Target | Date recruitment<br>closed | Trial Status | Target<br>met? |
|-------------------------------|-------------------------|--|-----------------------|----------------------------|--------------|----------------|
| 110                           | 14/LO/0818              | A Randomized Open-Label Phase III Trial of MK-3475 versus<br>Platinum based Chemotherapy in 1L Subjects with PD-L1<br>Strong Metastatic Non-Small Cell Lung Cancer   | 10                    | 24/12/2015                 | Open         | N/A            |
| 111                           | 14/LO/0865              | A Phase 2, Multi-Center, Randomized, Double-Blind, Ascending-<br>Dose, Placebo-Controlled Clinical Study to Assess the Safety<br>and Efficacy of Fostamatinib in the Treatment of IgA<br>Nephropathy   | 4                     | 01/12/2015                 | Open         | N/A            |
| 112                           | 14/NE/1018              | A randomised, double-blind, chronic dosing (56-week), placebo-<br>controlled, parallel group, multicentre, phase III study to<br>evaluate the efficacy and safety of 2 doses of benralizumab<br>)MEDI-563) in patients with severe to very severe Chronic<br>Obstructive Pul | 6                     | 31/07/2016                 | Open         | N/A            |
| 113                           | 14/EM/0034              | A MULTICENTER, PHASE III, OPEN-LABEL, RANDOMIZED STUDY<br>IN RELAPSED/REFRACTORY PATIENTS WITH CHRONIC<br>LYMPHOCYTIC LEUKEMIA TO EVALUATE THE BENEFIT OF GDC-<br>0199 (ABT-199) PLUS RITUXIMAB COMPARED WITH<br>BENDAMUSTINE PLUS RITUXIMAB                                 | 3                     | 30/07/2015                 | Open         | N/A            |
| 114                           | 14/WM/1055              | A prospective, multicenter, randomized, double blind, placebo-<br>controlled, 2-parallel groups, phase 3 study to compare the<br>efficacy and safety of masitinib in combination with FOLFIRI<br>(irinotecan, 5-fluorouracil and folinic acid) to placebo in<br>combination  | 5                     | 14/02/2016                 | Open         | N/A            |
| 115                           | 14/EM/1059              | A Phase 3, Multi-Centre, Randomised, Double-Blind, Placebo-<br>Controlled, Study of Fostamatinib Disodium in the Treatment<br>of Persistent/Chronic Immune Thrombocytopenic Purpura  | 2                     | 15/12/2014                 | Open         | N/A            |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial  | Recruitment<br>Target | Date recruitment<br>closed | Trial Status                   | Target<br>met? |
|-------------------------------|-------------------------|--|-----------------------|----------------------------|--------------------------------|----------------|
| 116                           | 14/EM/0186              | A Randomized Controlled Phase 3 Study of Oral Pacritinib<br>versus Best Available Therapy in Patients with<br>Thrombocytopenia and Primary Myelofibrosis, Post-<br>Polycythemia Vera Myelofibrosis, or Post-Essential<br>Thrombocythemia Myelofibrosis                       | 2                     | 01/04/2015                 | Open                           | N/A            |
| 117                           | 14/EM/1068              | MYL-GAI-3001. AN OPEN-LABEL, RANDOMIZED, MULTI-<br>CENTER, PARALLEL-GROUP CLINICAL TRIAL COMPARING THE<br>EFFICACY AND SAFETY OF MYLANS INSULIN GLARGINE WITH<br>LANTUS® IN TYPE 1 DIABETES MELLITUS PATIENTS.   | 5                     | 19/07/2015                 | Open                           | N/A            |
| 118                           | 13/NW/0283              | GLOBAL LEADERS: Comparative effectiveness of 1 month of<br>ticagrelor plus aspirin followed by ticagrelor monotherapy<br>versus a current-day intensive dual antiplatelet therapy in all-<br>comers patients undergoing percutaneous coronary<br>intervention with bivalirud | 100                   | 30/06/2015                 | Open                           | N/A            |
| 119                           | 12/SC/0173              | A Multicentre, Randomised, Double-blind, Placebo-controlled<br>Study to Evaluate the Efficacy and Safety of Saxagliptin (BMS-<br>477118) in Combination with Metformin IR or Metformin XR in<br>Paediatric Patients with Type 2 Diabetes who have Inadequate<br>Glycaemic Co | 2                     | 30/04/2014                 | Suspended                      | N/A            |
| 120                           | 13/EM/0340              | A Phase 2 Open-Label Study of the Efficacy of ABT-199 (GDC-<br>0199) in Subjects with Relapsed or Refractory Chronic<br>Lymphocytic Leukemia Harboring the 17p Deletion  | 1                     | 01/09/2014                 | Suspended                      | N/A            |
| 121                           | 07/S0501/91             | An open label, single arm, multi centre phase II trial with<br>ofatumumab in patients with relapsed<br>Diffuse Large B Cell Lymphoma (DLBCL) ineligible for transplant<br>or relapsed after autologous<br>transplant   | 5                     | 31/12/2009                 | Closed - follow-up<br>complete | N              |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial   | Recruitment<br>Target | Date recruitment<br>closed | Trial Status                   | Target<br>met? |
|-------------------------------|-------------------------|---|-----------------------|----------------------------|--------------------------------|----------------|
| 122                           | 08/H0206/34             | A Multicentre, Double-Blind 3-Arm, Phase 1b/2 Study in<br>Subjects with Unresectable Locally Advanced or Metastatic<br>Gastric or Esophagogastric Junction Adenocarcinoma to<br>Evaluate the Safety and Efficacy of First-line Treatement with<br>Epirubicin Cisplatin and  | 7                     | 31/07/2010                 | Closed - follow-up<br>complete | N              |
| 123                           | 08/MRE00/93             | A single-arm, international, multi-centre trial investigating the<br>efficacy and safety of atumumab re-treatment and<br>maintenance treatment in patients with B-cell chronic<br>lymphocytic leukemia who progressed following response or<br>stable disease after of atum | 10                    | 30/11/2009                 | Closed - follow-up<br>complete | N              |
| 124                           | 08//H0703/132           | An open-label, multi-centre, randomised, phase Ib study to<br>investigate the safety and efficacy of RO5072759 given in<br>combination with CHOP or FC chemotherapy in patients with<br>CD20+ relapsed/refractory B-cell follicular non-Hodgkin's<br>lymphoma.              | 2                     | 30/09/2011                 | Closed - follow-up             | Y              |
| 125                           | 09/H0406/5              | A phase IA/II multi-centre open label study of HCD 122<br>administered once weekly for four weeks in adult patients with<br>advanced non Hodgkins or Hodgkins lymphoma who have<br>progressed after at least two prior therapies  | 10                    | 30/09/2010                 | Closed - follow-up<br>complete | N              |
| 126                           | 09/H0402/71             | A phase I multicentre, open label, dose escalation study of oral LDE225 in patients with advanced solid tumours.  | 5                     | 30/09/2011                 | Closed - follow-up             | Y              |
| 127                           | 09/H0402/92             | A Randomized, Multicenter, Open-label, Phase 3 Study to<br>Compare the Efficacy and Safety of Panitumumab and<br>Cetuximab in Subjects with Previously Treated, Wild-type<br>KRAS, Metastatic Colorectal Cancer.  | 10                    | 31/08/2011                 | Closed - follow-up<br>complete | Y              |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial   | Recruitment<br>Target | Date recruitment<br>closed | Trial Status                   | Target<br>met? |
|-------------------------------|-------------------------|---|-----------------------|----------------------------|--------------------------------|----------------|
| 128                           | 09-WSE04-55             | An open-label, multi centre, three arm randomised, phase III<br>study to investigate the efficacy and safety of R05072759 +<br>chlorambucil (GClb), rituximab and chlorambucil (RClb) or<br>chlorambucil (Clb) alone in previously untreated CLL patients<br>with co-morbid | 5                     | 01/06/2012                 | Closed - follow-up             | Y              |
| 129                           | 10/H0402/33             | CAVALIER: Perceval S valve clinical trial for extended CE mark  | 15                    | 30/03/2012                 | Closed - follow-up             | Y              |
| 130                           | 09/H0903/51             | An Extension Treatment Protocol for Subjects who have<br>participated in a Phase 3 study of Tivozanib vs. Sorafenib in<br>Renal Cell Carcinoma (Protocol AV-951-09-301)   | 5                     | 31/12/2012                 | Closed - follow-up<br>complete | N              |
| 131                           | 10/MRA00/84             | A phase I, multicenter, open-label dose escalation study of<br>LDK378, administered orally in adult patients with tumors<br>characterized by genetic abnormalities in anaplastic lymphoma<br>kinase(ALK)  | 2                     | 26/07/2013                 | Closed - follow-up             | Y              |
| 132                           | 10/H0406/90             | A Randomized, Double-Blind, Placebo-controlled Phase 2 Study<br>of Maintenance OSI-906 plus Erlotinib (Tarceva®), or Erlotinib<br>plus Placebo in Patients with Nonprogression Following Four<br>Cycles of 1st-line Platinum-based Chemotherapy for Advanced<br>NSCLC       | 3                     | 20/02/2013                 | Closed - follow-up<br>complete | Y              |
| 133                           | 10/H0806/106            | An open Phase I Study of immunization with the recNY-ESO-1 +<br>AS15 Antigen-Specific Cancer Immunotherapeutic in patients<br>with NY-ESO-1-positive unresectable and progressive<br>metastatic cutaneous melanoma  | 4                     | 01/10/2012                 | Closed - follow-up<br>complete | N              |
| 134                           | 08/H0502/133            | BH21260- A randomised, controlled, open-label, multi-centre,<br>parallel-group study to assess all-cause mortality and<br>cardiovascular morbidity in patients with chronic kidney<br>disease on dialysis and those not on renal replacement therapy<br>under treatment wit | 10                    | 31/03/2012                 | Closed - follow-up             | Ν              |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial   | Recruitment<br>Target | Date recruitment<br>closed | Trial Status                   | Target<br>met? |
|-------------------------------|-------------------------|---|-----------------------|----------------------------|--------------------------------|----------------|
| 135                           | 11/EM/0225              | A PHASE III, MULTICENTER, RANDOMISED TRIAL COMPARING<br>THE EFFICACY OF GA101 IN COMBINATION WITH CHOP (G-<br>CHOP) VERSUS RITUXIMAB AND CHOP (R-CHOP) IN<br>PREVIOUSLY UNTREATED PATIENTS WITH CD20-POSITIVE<br>DIFFUSE LARGE B-CELL LYMPHOMA (DLBCL)      | 5                     | 30/06/2014                 | Closed - follow-up             | N              |
| 136                           | 11/NI/0147              | Assessment of the 23mm St Jude Medical Portico<br>transcatheter Aortic Valve Implant (TAVI) and the SJM TAVI<br>Transfemoral Delivery System (Portico 23-TF EU)   | 12                    | 30/04/2012                 | Open                           | Y              |
| 137                           | 11/LO/1271              | Anamorelin HCl in the Treatment of Non-Small Cell Lung<br>Cancer - Cachexia (NSCLC-C): A Randomized, Double-Blind,<br>Placebo-Controlled, Multicenter, Phase III Study to Evaluate<br>the Safety and Efficacy of Anamorelin HCl in Patients with<br>NSCLC-C | 14                    | 01/07/2012                 | Closed - follow-up             | N              |
| 138                           | 11/LO/1272              | Anamorelin HCl in the Treatment of NonSmall Cell Lung Cancer<br>Cachexia (NSCLCC): An Extension Study   | 14                    | 01/07/2012                 | Closed - follow-up<br>complete | N              |
| 139                           | 11/LO/0054              | NGR015: Randomized double-blind phase III study of NGR-<br>hTNF plus best investigator's choice (BIC) versus placebo plus<br>BIC in previously treated patients with advanced malignant<br>pleural mesothelioma (MPM)                                       | 15                    | 01/12/2012                 | Closed - follow-up             | N              |
| 140                           | 11/SC/0499              | A UK openlabel, multicentre, phase II exploratory study of<br>INC424 for patients with primary myelofibrosis (PMF) or post<br>polycythaemia myelofibrosis (PPV MF) or postessential<br>thrombocythaemia myelofibrosis (PETMF)                               | 3                     | 30/11/2012                 | Closed - follow-up             | Y              |
| 141                           | 11/AL/0393              | A Randomized, Phase IIB/III Study of Ganetespib (STA-9090) in<br>Combination with Docetaxel Versus Docetaxel Alone in<br>Subjects with Stage IIIb or IV Non-Small-Cell Lung Cancer  | 20                    | 01/06/2013                 | Closed - follow-up<br>complete | N              |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial  | Recruitment<br>Target | Date recruitment<br>closed | Trial Status       | Target<br>met? |
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| 142                           | 12/LO/0806              | A Multicenter, Single arm, Open Label Clinical Trial to Evaluate<br>the Safety and Health-Related Quality of Life of Aflibercept in<br>Patients with Metastatic Colorectal Cancer (mCRC) Previously<br>Treated with an Oxaliplatin-Containing Regimen                        | 5                     | 30/04/2013                 | Closed - follow-up | Y              |
| 143                           | 12-LO-0928              | MASERATI 100<br>A prospective,<br>Multicenter,postmarket,singleArmobSERvATIonal study to<br>collect clinical outcome data on the use of Permacol™ Collagen<br>Paste in the treatment of anorectal fistulas   | 10                    | 28/02/2013                 | Closed - Follow up | Y              |
| 144                           | 12/SC/0139              | A Phase III Prospective, Two-cohort, Non-randomized, Multi-<br>centre, Multi-national, Open Label Study to Assess the Safety<br>of Assisted- and Self-administered Subcutaneous Trastuzumab<br>as Adjuvant Therapy in Patients with Operable HER2-positive<br>Early Breast C | 10                    | 30/11/2013                 | Closed - follow-up | N              |
| 145                           | 12/EM/0204              | An open-label, multi-centre, non-randomised phase I dose-<br>escalation study to investigate the safety and tolerability of<br>ONO-4059 given as monotherapy in patients with<br>relapsed/refractory Non-Hodgkin's lymphoma (NHL) and<br>relapsed/refractory chronic lymphoc | 7                     | 15/07/2015                 | Open               | N/A            |
| 146                           | 12/NW/0242              | An open-label phase IIIb study of regorafenib in patients with metastatic colorectal cancer (CRC) who have progressed after standard therapy   | 15                    | 01/03/2013                 | Closed - follow-up | N              |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial   | Recruitment<br>Target | Date recruitment<br>closed | Trial Status       | Target<br>met? |
|-------------------------------|-------------------------|---|-----------------------|----------------------------|--------------------|----------------|
| 147                           | 12/NE/0009              | A Phase II, Double-Blind, Placebo Controlled, Randomized<br>Study Evaluating the Safety and Efficacy of<br>Carboplatin/Paclitaxel and Carboplatin/Paclitaxel/Bevacizumab<br>with and without GDC-0941 in patients with Previously<br>Untreated Advanced or Recurrent Non-Sm | 9                     | 01/09/2015                 | Open               | N/A            |
| 148                           | 12/LO/1313              | ROX CONTROL HTN Study: A Prospective, Randomized, Open-<br>Label, Multicenter Study to Evaluate the ROX Coupler in<br>Patients with Resistant Hypertension  | 20                    | 31/12/2013                 | Closed - Follow up | N              |
| 149                           | 12/EM/0206              | An open label non-randomized phase 2 study evaluating<br>SAR3419, an anti-CD19 antibody – maytansine conjugate,<br>administered as single agent by intravenous infusion to<br>patients with relapsed or refractory CD19+ diffuse large B cell<br>lymphoma                   | 2                     | 30/09/2013                 | Closed - Follow-up | Y              |
| 150                           | 12/NE/0333              | A multicentre, open label, early stopping design, proof of<br>concept study with tasquinimod in treating patients with<br>advanced or metastatic hepatocellular, ovarian, renal cell and<br>gastric carcinomas.   | 10                    | 01/11/2013                 | Closed - follow-up | N              |
| 151                           | 13/ES/0088              | A Multicentre, double blind, randomized, parallel group,<br>placebo-controlled study to evaluate the effects of intravenous<br>serelaxin infusion on micro - and macro-vascular function in<br>subjects with coronary artery disease.                                       | 30                    | 30/06/2015                 | Open               | N/A            |
| 152                           | 13/LO/1766              | A Phase I, open-label, multiple-ascending dose trial to<br>investigate the safety, tolerability, pharmacokinetics, biological<br>and clinical activity of MSB0010718C in subjects with<br>metastatic or locally advanced solid tumors and expansion to<br>selected indicati | 3                     | 31/10/2015                 | Suspended          | N              |

| Trial<br>Submission<br>Number | REC Reference<br>Number | Name of Trial   | Recruitment<br>Target | Date recruitment<br>closed | Trial Status | Target<br>met? |
|-------------------------------|-------------------------|---|-----------------------|----------------------------|--------------|----------------|
| 153                           | 13/EM/0476              | ABSORB UK Registry A postmarket registry of patients with de novo lesions in previously untreated vessels treated with Absorb BVS | 30                    | 17/11/2017                 | Open         | N/A            |

For data queries please contact UHL R&D Data Management team on 0116 258 4573 or RDData@uhl-tr.nhs.uk