

## University Hospitals of Leicester NHS Trust

### Research & Development Directorate

Performance in Delivering Research

1st April 2014 to 31st March 2015

| Reference Number | REC Reference Number | Name of Trial  | Recruitment Target | Date recruitment closed | Trial Status                | Target met? |
|------------------|----------------------|--|--------------------|-------------------------|-----------------------------|-------------|
| 1                | 06/MRE01/102         | A Multi-center, Randomized, Double-blind, Placebo-controlled, Phase 3 Study of Single-agent Tarceva® (erlotinib) Following Complete Tumor Resection with or without Adjuvant Chemotherapy in Patients with Stage IB-IIIa Non small Cell Lung Carcinoma who have EGFR-positive Tumors.                                    | 10                 | 31/03/2010              | Closed - Follow Up Complete | N           |
| 2                | 08/H0502/132         | BH21260- A randomised, controlled, open-label, multi-centre, parallel-group study to assess all-cause mortality and cardiovascular morbidity in patients with chronic kidney disease on dialysis and those not on renal replacement therapy under treatment with MIRCERA or reference Erythropoiesis-Stimulating Agents. | 20                 | 14/10/2011              | Open                        | N/A         |
| 3                | 09/H0406/5           | A phase IA/II multi-centre open label study of HCD 122 administered once weekly for four weeks in adult patients with advanced non Hodgkins or Hodgkins lymphoma who have progressed after at least two prior therapies  | 10                 | 30/09/2010              | Closed - Follow Up Complete | N           |
| 4                | 09/H0402/71          | A phase I multicentre, open label, dose escalation study of oral LDE225 in patients with advanced solid tumours.   | 5                  | 30/09/2011              | Closed - In Follow Up       | Y           |
| 5                | 09/H0402/92          | A Randomized, Multicenter, Open-label, Phase 3 Study to Compare the Efficacy and Safety of Panitumumab and Cetuximab in Subjects with Previously Treated, Wild-type KRAS, Metastatic Colorectal Cancer.  | 10                 | 31/08/2011              | Closed - Follow Up Complete | N           |
| 6                | 09-WSE04-55          | An open-label, multi centre, three arm randomised, phase III study to investigate the efficacy and safety of R05072759 + chlorambucil (GClb), rituximab and chlorambucil (RCIb) or chlorambucil (Clb) alone in previously untreated CLL patients with co-morbidities.  | 5                  | 01/06/2012              | Closed - In Follow Up       | Y           |
| 7                | 09/H0405/44          | A Randomized Double-blind Placebo-Controlled Trial of Neratinib (HKI-272) After Trastuzumab in Women With Early-Stage HER-2/neu Overexpressed/Amplified Breast Cancer.   | 10                 | 10/10/2011              | Closed - In Follow Up       | N           |

**Performance in Initiating and Delivering Clinical Research**

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|------------------|----------------------|---|--------------------|-------------------------|-----------------------------|-------------|
| 8                | 09/H0903/51          | An Extension Treatment Protocol for Subjects who have participated in a Phase 3 study of Tivozanib vs. Sorafenib in Renal Cell Carcinoma (Protocol AV-951-09-301)   | 5                  | 31/12/2012              | Closed - Follow Up Complete | N           |
| 9                | 10/H0808/109         | Evaluation of XIENCE PRIME™ or XIENCE V® versus Coronary Artery Bypass Surgery for Effectiveness of Left Main Revascularization.  | 25                 | 06/02/2014              | Closed - Follow Up Complete | Y           |
| 10               | 10/MRA00/84          | A phase I, multicenter, open-label dose escalation study of LDK378, administered orally in adult patients with tumors characterized by genetic abnormalities in anaplastic lymphoma kinase(ALK)   | 2                  | 26/07/2013              | Closed - In Follow Up       | N           |
| 11               | 10/H0806/106         | An open Phase I Study of immunization with the recNY-ESO-1 + AS15 Antigen-Specific Cancer Immunotherapeutic in patients with NY-ESO-1-positive unresectable and progressive metastatic cutaneous melanoma   | 4                  | 01/10/2012              | Closed - Follow Up Complete | N           |
| 12               | 08/H0502/133         | BH21260- A randomised, controlled, open-label, multi-centre, parallel-group study to assess all-cause mortality and cardiovascular morbidity in patients with chronic kidney disease on dialysis and those not on renal replacement therapy under treatment with MIRCERA® or reference Erythropoetin Stimulations Agents. (SUB STUDY) | 10                 | 31/03/2012              | Abandoned                   | N           |
| 13               | 11/EM/0225           | A PHASE III, MULTICENTER, RANDOMISED TRIAL COMPARING THE EFFICACY OF GA101 IN COMBINATION WITH CHOP (G-CHOP) VERSUS RITUXIMAB AND CHOP (R-CHOP) IN PREVIOUSLY UNTREATED PATIENTS WITH CD20-POSITIVE DIFFUSE LARGE B-CELL LYMPHOMA (DLBCL)   | 5                  | 30/06/2014              | Closed - In Follow Up       | N           |
| 14               | 11/NI/0147           | Assessment of the 23mm St Jude Medical Portico transcatheter Aortic Valve Implant (TAVI) and the SJM TAVI Transfemoral Delivery System (Portico 23-TF EU)   | 12                 | 30/04/2012              | Closed - Follow Up Complete | Y           |
| 15               | 11/LO/1272           | Anamorelin HCl in the Treatment of NonSmall Cell Lung Cancer Cachexia (NSCLCC): An Extension Study  | 14                 | 01/07/2012              | Closed - Follow Up Complete | N           |
| 16               | 11/SC/0499           | A UK openlabel, multicentre, phase II exploratory study of INC424 for patients with primary myelofibrosis (PMF) or post polycythaemia myelofibrosis (PPV MF) or postessential thrombocythaemia myelofibrosis (PETMF)  | 3                  | 30/11/2012              | Closed - In Follow Up       | Y           |
| 17               | 11/AL/0393           | A Randomized, Phase IIB/III Study of Ganetespib (STA-9090) in Combination with Docetaxel Versus Docetaxel Alone in Subjects with Stage IIIB or IV Non-Small-Cell Lung Cancer  | 20                 | 01/06/2013              | Closed - Follow Up Complete | N           |

**Performance in Initiating and Delivering Clinical Research**

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|------------------|----------------------|--|--------------------|-------------------------|-----------------------------|-------------|
| 18               | 12/LO/0806           | A Multicenter, Single arm, Open Label Clinical Trial to Evaluate the Safety and Health-Related Quality of Life of Aflibercept in Patients with Metastatic Colorectal Cancer (mCRC) Previously Treated with an Oxaliplatin-Containing Regimen   | 5                  | 30/04/2013              | Closed - In Follow Up       | Y           |
| 19               | 12-LO-0928           | MASERATI 100<br>A prospective, Multicenter, postmarket, single Arm observational study to collect clinical outcome data on the use of Permacol™ Collagen Paste in the treatment of anorectal fistulas  | 10                 | 28/02/2013              | Closed - Follow Up Complete | Y           |
| 20               | 12/SC/0139           | A Phase III Prospective, Two-cohort, Non-randomized, Multi-centre, Multi-national, Open Label Study to Assess the Safety of Assisted- and Self-administered Subcutaneous Trastuzumab as Adjuvant Therapy in Patients with Operable HER2-positive Early Breast Cancer                 | 10                 | 25/09/2013              | Closed - In Follow Up       | N           |
| 21               | 12/EM/0204           | An open-label, multi-centre, non-randomised phase I dose-escalation study to investigate the safety and tolerability of ONO-4059 given as monotherapy in patients with relapsed/refractory Non-Hodgkin's lymphoma (NHL) and relapsed/refractory chronic lymphocytic leukaemia (CLL). | 7                  | 20/02/2015              | Closed - In Follow Up       | Y           |
| 22               | 01/02/03             | A Combined trial of an investigational medicinal product and an investigational medical device and other clinical trials to study a novel intervention or randomised clinical trial to compare interventions in clinical practice (CTIMP)  | 15                 | 01/03/2013              | Closed - In Follow Up       | N           |
| 23               | 12/NE/0009           | A Phase II, Double-Blind, Placebo Controlled, Randomized Study Evaluating the Safety and Efficacy of Carboplatin/Paclitaxel and Carboplatin/Paclitaxel/Bevacizumab with and without GDC-0941 in patients with Previously Untreated Advanced or Recurrent Non-Small Cell Lung Cancer  | 9                  | 06/01/2015              | Closed - In Follow Up       | N           |
| 24               | 12/LO/1313           | ROX CONTROL HTN Study: A Prospective, Randomized, Open-Label, Multicenter Study to Evaluate the ROX Coupler in Patients with Resistant Hypertension  | 20                 | 14/04/2014              | Closed - In Follow Up       | N           |
| 25               | 12/EM/0206           | An open label non-randomized phase 2 study evaluating SAR3419, an anti-CD19 antibody – maytansine conjugate, administered as single agent by intravenous infusion to patients with relapsed or refractory CD19+ diffuse large B cell lymphoma  | 2                  | 30/09/2013              | Closed - In Follow Up       | Y           |

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|------------------|----------------------|---|--------------------|-------------------------|-----------------------------|-------------|
| 26               | 12/NE/0333           | A multicentre, open label, early stopping design, proof of concept study with tasquinimod in treating patients with advanced or metastatic hepatocellular, ovarian, renal cell and gastric carcinomas.  | 10                 | 01/11/2013              | Closed - In Follow Up       | N           |
| 27               | 13/ES/0088           | A Multicentre, double blind, randomized, parallel group, placebo-controlled study to evaluate the effects of intravenous serelaxin infusion on micro - and macro-vascular function in subjects with coronary artery disease.  | 30                 | 30/06/2015              | Open                        | N/A         |
| 28               | 13/LO/1766           | A Phase I, open-label, multiple-ascending dose trial to investigate the safety, tolerability, pharmacokinetics, biological and clinical activity of MSB0010718C in subjects with metastatic or locally advanced solid tumors and expansion to selected indications. | 3                  | 31/10/2015              | Suspended                   | N           |
| 29               | 13/EM/0476           | ABSORB UK Registry A postmarket registry of patients with de novo lesions in previously untreated vessels treated with Absorb BVS   | 30                 | 31/10/2015              | Open                        | N/A         |
| 30               | 14/SW/1062           | An Open-label, Phase 1b Study of ACP-196 in Subjects with Relapsed or Refractory de Novo Activated B-cell (ABC) Subtype of Diffuse Large B-Cell Lymphoma  | 17                 | 03/03/2016              | Open                        | N/A         |
| 31               | 14/LO/1996           | A Phase 1 Dose Escalation Study of VS-5584, a Dual PI3K/mTOR Inhibitor, Administered with a Fixed Dose of VS-6063, a Focal Adhesion Kinase Inhibitor, in Subjects with Relapsed Malignant Mesothelioma.   | 14                 | 14/12/2016              | Open                        | N/A         |
| 32               | 09/H0802/125         | An open-label, multi-centre, single arm study to evaluate the safety, tolerability and pharmacokinetics of intravenous zanamivir in the treatment of hospitalised adult, adolescent and paediatric subjects with confirmed influenza infection                      | 0                  | 27/01/2017              | Closed - Follow Up Complete | N           |
| 33               | 10/MREC00/61         | An Open Label, Multicenter, randomized, phase III study to investigate the efficacy and safety of Bendamustine compared with Bendamustine + RO5072759 (GA101) in patients with Rituximab-refractory, indolent Non-Hodgkin's Lymphoma)                               | 6                  | 01/08/2014              | Closed - In Follow Up       | N           |
| 34               | 11/AL/0042           | A RANDOMISED PLACEBO CONTROLLED CLINICAL TRIAL TO EVALUATE CARDIOVASCULAR OUTCOMES AFTER TREATMENT WITH EXENATIDE ONCE WEEKLY IN PATIENTS WITH TYPE 2 DIABETES MELLITUS   | 5                  | 15/05/2015              | Open                        | Y           |

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|------------------|----------------------|---|--------------------|-------------------------|-----------------------------|-------------|
| 35               | 11NH/0140            | Study to observe the effectiveness and safety of LUCENTIS® through individualised patient treatment and associated outcomes   | 20                 | 07/12/2014              | Closed - Follow Up Complete | Y           |
| 36               | 10/H1102/44          | Registry for Patients with Niemann-Pick Type C Disease  | 1                  | 31/12/2015              | Open                        | N/A         |
| 37               | 12/EE/0005           | A multicentre, double blind, randomised controlled Clinical Investigation to validate the EPS1 device as a treatment for stroke induced dysphagia. A Study of Swallowing Treatment using Electrical Pharyngeal Stimulation (STEPS Study)  | 3                  | 30/06/2014              | Closed - In Follow Up       | Y           |
| 38               | 11-EE-0333           | A Post-Marketing Observational Study (PMOS) to Determine the Effectiveness and Patient Satisfaction with Adalimumab Treatment in Patients with Rheumatoid Arthritis (OPERA study)   | 10                 | 30/09/2014              | Closed - In Follow Up       | Y           |
| 39               | 12/SC/0173           | A Multicentre, Randomised, Double-blind, Placebo-controlled Study to Evaluate the Efficacy and Safety of Saxagliptin (BMS-477118) in Combination with Metformin IR or Metformin XR in Paediatric Patients with Type 2 Diabetes who have Inadequate Glycaemic Co                           | 2                  | 30/09/2014              | Suspended                   | N           |
| 40               | 11/LO/0954           | LUXHead & Neck 2; A randomised, doubleblind, placebo controlled, phase III study to evaluate the efficacy and safety of afatinib (BIBW 2992) as adjuvant therapy after chemoradiotherapy in primary unresected patients with stage III, IVa, or IVb locoregiona                           | 5                  | 01/05/2017              | Open                        | N/A         |
| 41               | 12/SC/0035           | A 6-month safety and benefit study of inhaled fluticasone propionate/ salmeterol combination versus inhaled fluticasone propionate in the treatment of 6,200 paediatric subjects 4-11 years old with persistent asthma.   | 6                  | 31/08/2015              | Closed - In Follow Up       | Y           |
| 42               | 12/LO/0098           | A randomized, double-blind, placebo controlled, multiple dose study to evaluate the safety, tolerability, and efficacy of intravenous administration of secukinumab (AIN457) in patients with asthma not adequately controlled with inhaled corticosteroids and long acting beta-agonists | 5                  | 31/12/2014              | Closed - In Follow Up       | N           |
| 43               | 11/SC/0438           | REPLACE (Randomised evaluation of fibrinogen versus placebo in complex cardiovascular surgery): a prospective, multinational, multicenter, randomised, double-blind, placebo-controlled, phase III study for the use of Fibrinogen Concentrate (Human) (FCH) in                           | 7                  | 31/07/2014              | Closed - In Follow Up       | N           |

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|------------------|----------------------|---|--------------------|-------------------------|-----------------------|-------------|
| 44               | 12/SC/0435           | Efficacy and safety of 3 doses of S38093 (2, 5 and 20mg/day) versus placebo in coadministration with donepezil (10mg/day) in patients with moderate Alzheimer's Disease. A 24 week international, multicentre, randomised, doubleblind, placebocontrolled phase   | 10                 | 31/07/2014              | Closed - In Follow Up | N           |
| 45               | 11/SS/0054           | Clinical Trial of the SonRtip Lead and Automatic AVV Optimization Algorithm in the Paradym RF SonR CRT-D (RESPOND CRT)  | 10                 | 31/12/2014              | Closed - In Follow Up | Y           |
| 46               | 12/SC/0098           | SAS115359, a Safety and Efficacy Study of Inhaled Fluticasone Propionate/Salmeterol Combination versus Inhaled Fluticasone Propionate in the Treatment of Adolescent and Adult Subjects with Asthma   | 10                 | 22/07/2014              | Closed - In Follow Up | N           |
| 47               | 12/WM/0039           | INOVATEHF:<br>INcrease Of VAgal TonE in chronic Heart Failure.  | 10                 | 30/06/2015              | Open                  | N/A         |
| 48               | 12/SW/0143           | Pazopanib Observational Study (PRINCIPAL) - A prospective observational study to capture real world treatment patterns and determine treatment outcomes in patients with advanced or metastatic renal cell carcinoma (RCC) receiving pazopanib.   | 6                  | 18/12/2014              | Closed - In Follow Up | Y           |
| 49               | 12/EM/0349           | A multicenter, open-label, randomized phase II study to evaluate the efficacy of AUY922 vs pemetrexed or docetaxel in NSCLC patients with EGFR mutations who have progressed on prior EGFR TKI treatment  | 3                  | 11/06/2014              | Closed - In Follow Up | Y           |
| 50               | 12/WA/0220           | A phase III randomized study, double blind placebo controlled of Fulvestrant in combination with oral BKM120 versus Fulvestrant plus Placebo, in the treatment of postmenopausal women with ER-positive HER2-negative, mTORi naive locally advanced or metastatic Breast Cancer (BC) refractory to Aromatase Inhibitor (AI) | 4                  | 19/11/2014              | Closed - In Follow Up | N           |
| 51               | 12/WM/0341           | A Double-blind, Randomized, Placebo-controlled, Multicenter Study Assessing the Impact of Additional LDL-Cholesterol Reduction on Major Cardiovascular Events When AMG 145 is Used in Combination With Statin Therapy In Patients with Clinically Evident Cardi   | 10                 | 22/05/2015              | Open                  | Y           |
| 52               | 12/SC/0434           | A randomised, multicentre, double blind, placebo controlled, crossover trial determining the efficacy of dry powder mannitol in improving lung function in subjects with Cystic Fibrosis aged six to seventeen years  | 4                  | 30/04/2015              | Open                  | N/A         |

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|------------------|----------------------|---|--------------------|-------------------------|-----------------------|-------------|
| 53               | 12/EM/0395           | A Prospective Multicenter Non-Interventional Study of Women Treated with ESMYA (Ulipristal Acetate) as Pre-operative Treatment of Moderate to Severe Symptoms of Uterine Fibroids   | 20                 | 16/04/2014              | Closed - In Follow Up | Y           |
| 54               | 12/LO/1825           | A Phase 3, Multicenter, Randomized, Double-Blind, Placebo Controlled Study of Rilotumumab (AMG 102) with Epirubicin, Cisplatin, and Capecitabine (ECX) as First-line Therapy in Advanced MET-Positive Gastric or Gastroesophageal Junction Adenocarcinoma       | 2                  | 30/11/2014              | Closed - In Follow Up | Y           |
| 55               | 12/SC/0504           | A Multicenter, Randomized, Double-Blind, Active-Controlled, Phase 3 Study to Evaluate the Efficacy and Safety of TAK-875 25mg and 50mg Compared to Glimepiride When Used in Combination with Metformin in Subjects with Type 2 Diabetes                         | 1                  | 01/06/2014              | Withdrawn             | N           |
| 56               | 12/YH/0475           | A PHASE Ib MULTICENTER DOSE-FINDING AND SAFETY STUDY OF GDC-0199 AND OBINUTUZUMAB IN PATIENTS WITH RELAPSED OR REFRACTORY OR PREVIOUSLY UNTREATED CHRONIC LYMPHOCYTIC LEUKEMIA  | 5                  | 30/10/2015              | Open                  | N/A         |
| 57               | 13/LO/0021           | Prevention of Recurrence in Early-Stage, Node-Positive Breast Cancer with Low to Intermediate HER2 Expression with NeuVax™ Treatment (PRESENT)  | 10                 | 31/08/2014              | Open                  | N/A         |
| 58               | 13/SC/0073           | A Double-blind, Randomized, Placebo-controlled, Multicenter, Dose Escalation Study to Select and Evaluate an Oral Modified Release Formulation of Omecamtiv Mecarbil in Subjects with Heart Failure and Left Ventricular Systolic Dysfunction                   | 4                  | 27/02/2015              | Closed - In Follow Up | N           |
| 59               | 13/NE/0080           | A randomized, open-label, active-controlled, 3-arm parallel-group, 26-week study comparing the efficacy and safety of lixisenatide to that of insulin glulisine once daily and insulin glulisine three times daily in patients with Type 2 diabetes insufficien | 3                  | 02/06/2014              | Closed - In Follow Up | N           |
| 60               | 13/SC/0092           | A multicenter, randomized, double-blind, parallel-group, placebo-controlled variable treatment duration study evaluating the efficacy and safety of siponimod (BAF312) in patients with secondary progressive multiple sclerosis                                | 6                  | 31/12/2014              | Closed - In Follow Up | N           |
| 61               | 12/LO/1950           | A Randomized, Open-label, Phase 3 Trial of A+AVD Versus ABVD as Frontline Therapy in Patients With Advanced Classical Hodgkin Lymphoma  | 8                  | 30/04/2015              | Open                  | Y           |

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|------------------|----------------------|---|--------------------|-------------------------|-----------------------|-------------|
| 62               | 13/NW/0006           | A randomized, double-blind, placebo-controlled, phase 3 study of brentuximab vedotin and CHP (A+CHP) versus CHOP in the frontline treatment of patients with CD30-positive mature T-cell lymphomas  | 3                  | 31/03/2015              | Open                  | N/A         |
| 63               | 13/SC/0102           | A Phase II/III Randomized Trial of Two Doses of MK-3475 (SCH900475) versus Docetaxel in Previously Treated Subjects with Squamous Histology Non-Small Cell Lung Cancer  | 3                  | 10/04/2015              | Open                  | Y           |
| 64               | 13/LO/0033           | A Phase II, randomized study of paclitaxel with GDC-0941 versus paclitaxel with placebo in patients with locally recurrent or metastatic breast cancer  | 2                  | 01/07/2014              | Closed - In Follow Up | Y           |
| 65               | 13/ES/0009           | A Study Evaluating the Efficacy and Safety of BOTOX® and Solifenacin in Patients with Overactive Bladder and Urinary Incontinence   | 5                  | 30/06/2014              | Closed - In Follow Up | N           |
| 66               | 12/SC/0570           | CFTY720D2406: Long-term, prospective, non-interventional, multinational, parallel cohort study monitoring safety in patients with MS recently initiated with fingolimod once daily or treated with another approved disease-modifying therapy   | 10                 | 30/06/2015              | Open                  | Y           |
| 67               | 12/LO/0491           | Surgical Replacement And Transcatheter Aortic Valve Implantation (SURTAVI)  | 5                  | 30/06/2015              | Open                  | N/A         |
| 68               | 13/SC/0010           | A Phase IIa, Randomized, Double-blind, Placebo-controlled, Parallel Group Study to Assess the Safety and Efficacy of 28 Day Oral Administration of BAY 85-8501 in Patients with non-Cystic Fibrosis Bronchiectasis  | 3                  | 10/04/2014              | Closed - In Follow Up | Y           |
| 69               | 13/NW/0003           | A RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, PHASE-3 STUDY TO ASSESS THE SAFETY AND EFFICACY OF ART-123 IN SUBJECTS WITH SEVERE SEPSIS AND COAGULOPATHY  | 6                  | 31/05/2016              | Open                  | N/A         |
| 70               | 13/ES/0005           | A Phase 3, Multicenter, Randomized, Double-blind Study to Compare the Efficacy and Safety of Oral Azacitidine Plus Best Supportive Care versus Placebo Plus Best Supportive Care in Subjects with Red Blood Cell Transfusion-dependent Anemia and Thrombocyte   | 4                  | 15/06/2015              | Open                  | N/A         |
| 71               | 13/LO/0418           | A multi-centre, randomised, placebo controlled double blind, two armed, parallel group study to evaluate efficacy and safety of IV Sildenafil in the treatment of neonates persistent pulmonary hypertension of the newborn (PPHN) or hypoxic respiratory failure and at risk for PPHN, with a long term flow up investigation of developmental progress 12 and 24 months after completion of study treatment | 1                  | 01/10/2017              | Open                  | N/A         |



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|------------------|----------------------|---|--------------------|-------------------------|-----------------------|-------------|
| 72               | 13/LO/0326           | A Randomized, Phase 3 Study of Ganetespib in Combination with Docetaxel versus Docetaxel Alone in Patients with Advanced Non-Small-Cell Lung Adenocarcinoma   | 8                  | 01/07/2015              | Open                  | N/A         |
| 73               | 13/LO/0105           | Open-label, single-arm, phase IV, multicentre trial to explore the immunogenicity of the liquid formulation of Saizen® in subjects with growth hormone deficiency (GHD) of adult onset  | 4                  | 20/11/2014              | Closed - In Follow Up | N           |
| 74               | 13/NW/0283           | Comparative effectiveness of 1 month of ticagrelor plus aspirin followed by ticagrelor monotherapy versus a current-day intensive dual antiplatelet therapy in all-comers patients undergoing percutaneous coronary intervention with bivalirudin and biomatrix family drug-eluting stent use | 100                | 30/06/2015              | Open                  | N/A         |
| 75               | 13/LO/0491           | A Phase IIa, Double-Blind, Placebo-Controlled, Randomised, Multi-centre Study of POL6326, a CXCR4 Antagonist, in Patients with Large Reperfused ST-Elevation Myocardial Infarction  | 5                  | 01/05/2015              | Open                  | N/A         |
| 76               | 13/WA/0080           | Long term Experience With Abatacept SC in Routine Clinical Practice Study   | 5                  | 30/11/2017              | Open                  | N/A         |
| 77               | 13/NE/0125           | A prospective double blind randomised controlled study to evaluate the immunological benefits and clinical effects of an elimination diet using an amino acid formula (AAF) with an added pre-probiotic blend in infants with Cow's Milk Allergy (CMA)  | 4                  | 31/07/2015              | Open                  | N/A         |
| 78               | 13/LO/0219           | A Phase 2, Randomized, Double-Blind, Placebo-Controlled, Multi-Center Study to Assess the Efficacy and Safety of GS-6624 in Subjects with Idiopathic Pulmonary Fibrosis (RAINIER)   | 2                  | 01/12/2016              | Open                  | N/A         |
| 79               | 13/YH/0140           | Effect of ivabradine versus placebo on cardiac function, exercise capacity, and neuroendocrine activation in patients with Chronic Heart Failure with Preserved left ventricular Ejection Fraction<br>An 8-month, randomised double-blind, placebo controlled, int                            | 4                  | 15/06/2015              | Open                  | N/A         |
| 80               | 13/EM/0284           | A phase III, multicenter, randomized, open-label study of oral LDK378 versus standard chemotherapy in adult patients with ALK-rearranged (ALK-positive) advanced non-small cell lung cancer who have been treated previously with chemotherapy (platinum double                               | 10                 | 30/06/2015              | Open                  | N/A         |

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|------------------|----------------------|---|--------------------|-------------------------|-----------------------|-------------|
| 81               | 13/EM/0230           | A Phase II Randomized, Double-Blind, Placebo-Controlled, Multicenter Study of VS 6063 in Subjects with Malignant Pleural Mesothelioma   | 10                 | 01/08/2015              | Open                  | Y           |
| 82               | 13/NW/0464           | A Placebo-Controlled, Multicenter, Double-Blind, Randomized, Pharmacokinetic and Pharmacodynamic Trial of IDN-6556 in Subjects with Acute-on-Chronic Liver Failure  | 2                  | 31/07/2014              | Closed - In Follow Up | N           |
| 83               | 13/NW/0692           | A multicenter, international, randomized, parallel group, double blind, placebo-controlled Cardiovascular Safety & Renal Microvascular outcome with LINagliptin, 5 mg once daily in patients with type 2 diabetes mellitus at high vascular risk. CARMELINA | 10                 | 31/03/2016              | Open                  | N/A         |
| 84               | 13/EM/0325           | Multiple dose trial examining dose range, escalation and efficacy of oral semaglutide (NNC0113-0217) in subjects with type 2 diabetes   | 8                  | 21/04/2014              | Closed - In Follow Up | N           |
| 85               | 13/EM/0340           | A Phase 2 Open-Label Study of the Efficacy of ABT-199 (GDC-0199) in Subjects with Relapsed or Refractory Chronic Lymphocytic Leukemia Harboring the 17p Deletion  | 5                  | 30/04/2015              | Open                  | N/A         |
| 86               | 13/NI/0148           | A phase II, randomised, doubleblind, placebocontrolled, multicentre trial to assess the oral corticosteroidsparing effect of lebrikizumab in patients with with severe corticosteroiddependant asthma   | 14                 | 27/05/2018              | Open                  | N/A         |
| 87               | 13/EM/0251           | A multicenter, randomized, double-blind, placebo- controlled phase III study to evaluate the efficacy, safety and tolerability of Serelaxin when added to standard therapy in acute heart failure patients  | 6                  | 21/12/2015              | Open                  | Y           |
| 88               | 13/SW/0236           | Post-Authorisation Safety Study of Esbriet® (Pirfenidone): A Prospective Observational Registry to Evaluate Long-Term Safety in a Real-World Setting.   | 8                  | 31/10/2014              | Closed - In Follow Up | N           |
| 89               | 13/WM/0451           | A Multicenter, Phase 2, Single Arm, Two Cohort Study Evaluating the Efficacy, Safety, and Pharmacokinetics of AMG337 in subjects with MET Amplified Gastric/Gastroesophageal Junction/Esophageal Adenocarcinoma or Other MET Amplified Solid Tumors         | 3                  | 22/05/2015              | Open                  | N/A         |
| 90               | 13/SC/0490           | A Phase III, randomised, double-blind, placebo-controlled study to assess the efficacy, safety and tolerability of lebrikizumab in adolescent patients with uncontrolled asthma who are on inhaled corticosteroids and second controller medication.        | 4                  | 14/05/2016              | Open                  | N/A         |

**Performance in Initiating and Delivering Clinical Research**

| Reference Number | REC Reference Number | Name of Trial   | Recruitment Target | Date recruitment closed | Trial Status          | Target met? |
|------------------|----------------------|---|--------------------|-------------------------|-----------------------|-------------|
| 91               | 13/EE/0247           | A Phase 2a, 4-Week Treatment Period, Randomized, Double-Blind, Parallel Group, PA Phase 2a, 4-Week Treatment Period, Randomized, Double-Blind, Parallel Group, Placebo-Controlled Proof of Concept Study to Evaluate Efficacy, Safety and Tolerability of Inhal | 6                  | 13/06/2014              | Closed - In Follow Up | Y           |
| 92               | 13/EM/0460           | A RANDOMIZED, MULTICENTER, OPEN-LABEL TRIAL COMPARING CHEMOTHERAPY PLUS TRASTUZUMAB PLUS PERTUZUMAB VERSUS CHEMOTHERAPY PLUS TRASTUZUMAB EMTANSINE PLUS PERTUZUMAB AS ADJUVANT THERAPY IN PATIENTS WITH OPERABLE HER2 POSITIVE PRIMARY BREAST CANCER            | 7                  | 14/02/2016              | Open                  | N/A         |
| 93               | 14/NW/0017           | A Prospective, Single-Arm, Clinical-Setting Study to Describe Efficacy, Tolerability and Convenience of Teriflunomide Treatment Using Patient Reported Outcomes (PROs) in Relapsing Multiple Sclerosis (RMS) Patients   | 6                  | 28/11/2014              | Closed - In Follow Up | N           |
| 94               | 13/EM/0149           | Multicenter open-label study to evaluate efficacy of gadobutrol-enhanced cardiac magnetic resonance imaging (CMRI) for detection of significant coronary artery disease (CAD) in subjects with known or suspected CAD by a blinded image analysis               | 15                 | 15/08/2015              | Open                  | Y           |
| 95               | 12/LO/1125           | Occlutech percutaneous PFO closure:Safety and Efficacy OPPOSE Registry  | 15                 | 31/12/2015              | Open                  | N/A         |
| 96               | 14/SC/0065           | A Randomized, Multicenter, Double-Blind, Parallel, Placebo-Controlled Study of the Effects of Canagliflozin on Renal Endpoints in Adult Subjects With Type 2 Diabetes Mellitus  | 8                  | 15/06/2015              | Open                  | Y           |
| 97               | 13/LO/0704           | A dose ranging study investigating the efficacy and safety of sublingual immunotherapy tablets of house dust mite allergen extracts in adults with house dust mite-associated allergic asthma   | 3                  | 15/12/2014              | Closed - In Follow Up | N           |
| 98               | 14/EE/0076           | A Phase 4, Randomized, Double-Blind Study with a Safety Extension Period to Evaluate the Effect of Aspirin on Flushing Events in Subjects with Relapsing- Remitting Multiple Sclerosis Treated with Tecfidera™ (dimethyl fumarate) delayed-release capsules     | 6                  | 30/04/2015              | Closed - In Follow Up | N           |
| 99               | 13/LO/1517           | Phase 3, Randomized, Open Label, Active-Controlled Study to Evaluate the Efficacy and Safety of FG4592 in the Treatment of Anemia in Chronic Kidney Disease Patients Not on Dialysis  | 2                  | 04/05/2015              | Open                  | Y           |

**Performance in Initiating and Delivering Clinical Research**

| Reference Number | REC Reference Number | Name of Trial  | Recruitment Target | Date recruitment closed | Trial Status          | Target met? |
|------------------|----------------------|--|--------------------|-------------------------|-----------------------|-------------|
| 100              | 13/SC/0616           | A Phase II, randomised, observer-blind, placebo-controlled, multi-centre study to evaluate the safety, reactogenicity and immunogenicity of GSK Biologicals' investigational vaccine GSK2838504A, when administered intramuscularly according to a 0, 2 month s  | 10                 | 31/05/2015              | Open                  | N/A         |
| 101              | 14/EM/0101           | A randomised, double-blind, placebo-controlled, parallel group trial to evaluate the effects of a 24 week exercise and activity training program in combination with once daily treatment with tiotropium and olodaterol in fixed dose combination on exercise capacity and physical activity in patients with Chronic Obstructive Pulmonary Disease (COPD) [PHYSACTOTM] | 3                  | 17/04/2015              | Open                  | Y           |
| 102              | 14/LO/0673           | A Phase 3, Randomized, Placebo-Controlled, Double-Blind Study of Oral Ixazomib Citrate (MLN9708) Maintenance Therapy in Patients With Multiple Myeloma Following Autologous Stem Cell Transplant   | 8                  | 31/05/2016              | Open                  | N/A         |
| 103              | 14/LO/1050           | A Multicenter Phase 3 Randomized Open-Label Study of Bosutinib versus Imatinib in Adult Patients with Newly Diagnosed Chronic Phase Chronic Myelogenous Leukemia.  | 3                  | 05/07/2015              | Open                  | Y           |
| 104              | 14/LO/0091           | An observational cross-sectional study to describe the patient profile and secondary care management pathways for patients with hidradenitis suppurativa (HS) in the UK  | 10                 | 31/03/2015              | Closed - In Follow Up | N           |
| 105              | 14/SC/0185           | A Randomized, Double-Blind Trial Comparing the Effect of Dulaglutide 1.5 mg with Placebo on Glycemic Control in Patients with Type 2 Diabetes on Basal Insulin Glargine (AWARD-9: Assessment of Weekly AdministRation of LY2189265 in Diabetes - 9)  | 5                  | 27/02/2014              | Closed - In Follow Up | N           |
| 106              | 14/LO/0231           | Patients with axial spondyloarthritis: multicountry registry of clinical characteristics, including radiographic progression, and burden of disease over 5 years in realife setting  | 9                  | 28/02/2015              | Open                  | Y           |
| 107              | 07/H0607/101         | Safer pre-natal diagnosis using free DNA in maternal blood test. IONA Study  | 10                 | 30/10/2015              | Open                  | Y           |
| 108              | 14/LO/1472           | A Cross-Sectional Study of Patients with Chronic Immune Thrombocytopenic Purpura and Caregivers to Estimate the Proportion who Administer Romiplostim Correctly After Receipt of Home Administration Training Materials  | 2                  | 29/12/2015              | Open                  | N/A         |

**Performance in Initiating and Delivering Clinical Research**

| Reference Number | REC Reference Number | Name of Trial   | Recruitment Target | Date recruitment closed | Trial Status          | Target met? |
|------------------|----------------------|---|--------------------|-------------------------|-----------------------|-------------|
| 109              | 14/ES/0001           | Randomised, Double-Blind, Placebo-Controlled, Parallel-Group Study to Assess Cardiovascular Outcomes Following Treatment with Ertugliflozin (MK-8835/PF-04971729) in Participants with Type 2 Diabetes Mellitus and Established Vascular Disease                | 7                  | 30/06/2015              | Open                  | N/A         |
| 110              | 14/WM/1055           | A prospective, multicenter, randomized, double blind, placebo-controlled, 2-parallel groups, phase 3 study to compare the efficacy and safety of masitinib in combination with FOLFIRI (irinotecan, 5-fluorouracil and folinic acid) to placebo in combination  | 4                  | 01/09/2015              | Open                  | N/A         |
| 111              | 14/SC/0104           | A Phase 2b, Randomized, Double blind, Placebo-controlled, Parallel group, Multicentre, Dose finding Study to evaluate the Efficiency, Safety and Tolerability of AZDI1722 to Treat Hyperphosphataemia in End-Stage Renal Disease on Haemodialysis(ESRD-HD)      | 4                  | 31/10/2014              | Closed - In Follow Up | Y           |
| 112              | 13/NE/0344           | A Phase 3, Randomized, Double-Blind, Placebo Controlled, 26-Week Multicenter Study with a 26-Week Extension to Evaluate the Efficacy and Safety of Ertugliflozin Monotherapy in the Treatment of Subjects with Type 2 Diabetes Mellitus and Inadequate Glycemic | 7                  | 16/01/2015              | Open                  | N/A         |
| 113              | 14/NE/1018           | A randomised, double-blind, chronic dosing (56-week), placebo-controlled, parallel group, multicentre, phase III study to evaluate the efficacy and safety of 2 doses of benralizumab (MEDI-563) in patients with severe to very severe Chronic Obstructive Pul | 6                  | 31/07/2016              | Open                  | Y           |
| 114              | 14/EM/0143           | A Multi-Centre, Open-Label, Study of Mepolizumab in a Subset of Subjects with a History of Life Threatening/Seriously Debilitating Asthma Who Participated in the MEA115661 Trial   | 6                  | 09/09/2015              | Open                  | N/A         |
| 115              | 14/EM/0034           | Extension Study to Evaluate the Long-Term Safety and Efficacy of Elagolix in Subjects with Moderate to Severe Endometriosis-Associated Pain   | 0                  | 29/04/2015              | Open                  | N/A         |
| 116              | 14/LO/0818           | A Randomized Open-Label Phase III Trial of MK-3475 versus Platinum based Chemotherapy in 1L Subjects with PD-L1 Positive Metastatic Non-Small Cell Lung Cancer  | 10                 | 24/12/2015              | Open                  | N/A         |

**Performance in Initiating and Delivering Clinical Research**

| Reference Number | REC Reference Number | Name of Trial   | Recruitment Target | Date recruitment closed | Trial Status | Target met? |
|------------------|----------------------|---|--------------------|-------------------------|--------------|-------------|
| 117              | 14/EM/0186           | A Randomized Controlled Phase 3 Study of Oral Pacritinib versus Best Available Therapy in Patients with Thrombocytopenia and Primary Myelofibrosis, Post-Polycythemia Vera Myelofibrosis, or Post-Essential Thrombocythemia Myelofibrosis                         | 2                  | 01/04/2016              | Open         | N/A         |
| 118              | 14/EE/0102           | A prospective, randomized, open-label, blinded endpoint evaluation (PROBE) parallel group study comparing edoxaban (DU-176b) with enoxaparin/warfarin followed by warfarin alone in subjects undergoing planned electrical cardioversion of nonvalvular atrial    | 5                  | 23/10/2015              | Open         | N/A         |
| 119              | 14/EM/1003           | A Randomized, Double-Blind, Placebo-Controlled Phase 2/3 Study to Evaluate the Efficacy and Safety of Blisibimod Administration in Subjects with IgA Nephropathy  | 4                  | 31/07/2015              | Open         | N/A         |
| 120              | 14/YH/0086           | RESPOND: Repositionable Lotus Valve System – Post Market Evaluation of Real World Clinical Outcomes   | 10                 | 30/06/2015              | Open         | N/A         |
| 121              | 14/LO/1243           | Patient Reported Outcomes with Fingolimod in Local Experience (PROFILE)   | 8                  | 30/06/2015              | Open         | N/A         |
| 122              | 14/LO/1406           | A PHASE II, OPEN-LABEL STUDY EVALUATING THE SAFETY AND EFFICACY OF GDC-0199 (ABT-199) PLUS BENDAMUSTINE PLUS RITUXIMAB (BR) IN COMPARISON WITH BR ALONE OR GDC-0199 PLUS RITUXIMAB (R) IN PATIENTS WITH RELAPSED AND REFRACTORY FOLLICULAR NON HODGKIN'S LYMPHOMA | 5                  | 30/09/2015              | Open         | N/A         |
| 123              | 14/YH/0141           | nMARQ™ Pulmonary Vein Isolation System for the Treatment of Paroxysmal Atrial Fibrillation  | 4                  | 31/08/2015              | Open         | Y           |
| 124              | 14/EE/1001           | A 6 month,prospective, randomized, multicentre, placebo controlled safety study of OTO104 given at 3 month intervals by intratympanic injection in subjects with unilateral Meniere's disease, followed by a 6 month open label extension.                        | 5                  | 31/03/2015              | Open         | Y           |
| 125              | 14/NE/1072           | A PHASE II, RANDOMIZED, DOUBLE-BLIND, PLACEBO CONTROLLED BRONCHOSCOPY STUDY TO EVALUATE THE EFFECTS OF LEBRIKIZUMAB ON AIRWAY EOSINOPHILIC INFLAMMATION IN PATIENTS WITH UNCONTROLLED ASTHMA ON INHALED CORTICOSTEROIDS AND A SECOND CONTROLLER MEDICATION        | 4                  | 30/09/2016              | Open         | N/A         |
| 126              | 14/NE/1190           | Patients with Multiple Sclerosis treated with Fingolimod: Real World UK Experience (MSFine)   | 20                 | 01/06/2015              | Open         | N/A         |

### Performance in Initiating and Delivering Clinical Research

| Reference Number | REC Reference Number | Name of Trial  | Recruitment Target | Date recruitment closed | Trial Status | Target met? |
|------------------|----------------------|--|--------------------|-------------------------|--------------|-------------|
| 127              | 14/SC/1321           | ASSESS: A non-interventional study measuring the economic burden of acute heart failure to society in the United Kingdom via patient and caregiver surveys   | 40                 | 29/05/2015              | Open         | N/A         |
| 128              | 13/LO/1328           | A multicenter, randomized, double-blind, parallel group, active-controlled study to evaluate the efficacy and safety of LCZ696 compared to valsartan, on morbidity and mortality in heart failure patients (NYHA Class III/IV) with preserved ejection fraction  | 10                 | 15/08/2018              | Open         | N/A         |
| 129              | 14/EM/1071           | Multicenter, open-label, randomised, pharmacokinetic (PK) and pharmacodynamic (PD) dose-ranging Phase II study of ticagrelor followed by a double-blind, randomised, parallel-group, placebo-controlled 4 weeks extension phase in paediatric patients with sic  | 1                  | 30/05/2015              | Open         | N/A         |
| 130              | 14/LO/1443           | A Phase 3, Randomized, Open-Label, Active-Controlled Study to Evaluate the Efficacy and Safety of Roxadustat in the Maintenance Treatment of Anemia in End Stage Renal Disease Subjects on Stable Dialysis   | 4                  | 01/01/2016              | Open         | N/A         |
| 131              | 14/ss/1048           | A Phase 3b, Multicenter, Randomized-withdrawal, Placebo-controlled, Double-blind, Parallel-group Trial to Compare the Efficacy and Safety of Tolvaptan (45 to 120 mg/day, Splitdose) in Subjects with Chronic Kidney Disease Between Late Stage 2 to Early Stage 4 Due to Autosomal Dominant Polycystic Kidney Disease | 5                  | 06/08/2015              | Open         | N/A         |
| 132              | 14/EM/1102           | A Double-Blind, Placebo Controlled, Multicenter Study to Assess the Effect of Evolocumab on Cognitive Function in Patients with Clinically Evident Cardiovascular Disease and Receiving Statin Background Lipid Lowering Therapy: A Study for Subjects Enrolled in the FOURIER Trial                                   | 3                  | 31/12/2017              | Open         | N/A         |

For data queries please contact UHL R&D Data Management team on 0116 258 4573 or [RDData@uhl-tr.nhs.uk](mailto:RDData@uhl-tr.nhs.uk)